

# Concurrent Algorithms

## Mock Midterm Exam

December 20th, 2021

**Time: 1h45**

### Instructions:

- This midterm is “closed book”: no notes, electronics, or cheat sheets allowed.
- When solving a problem, do not assume any known result from the lectures, unless we explicitly state that you might use some known result.
- Keep in mind that only one operation on one shared object (e.g., a read or a write of a register) can be executed by a process in a single step. To avoid confusion (and common mistakes) write only a single atomic step in each line of an algorithm.
- Remember to write which variables represent shared objects (e.g., registers).
- Unless otherwise stated, we assume atomic multi-valued MRMW shared registers.
- Unless otherwise stated, we ask for *wait-free* algorithms.
- Unless otherwise stated, we assume a system of  $n$  asynchronous processes which might crash.
- For every algorithm you write, provide a short explanation of why the algorithm is correct.
  
- Make sure that your name and SCIPER number appear on **every** sheet of paper you hand in.
- You are **only** allowed to use additional pages handed to you upon request by the TAs.

Good luck!

Problem	Max Points	Score
1	2	
2	2	
3	3	
4	3	
5	2	
<b>Total</b>	<b>12</b>	

## Problem 1 (2 points)

### Tasks.

1. Write a wait-free algorithm that implements a safe MRSW binary register using (any number of) SRSW safe binary registers.
2. Write a wait-free algorithm that implements a regular MRSW binary register using (any number of) safe MRSW binary registers.

## Problem 2 (2 points)

A *snapshot* object maintains an array of registers  $R$  of size  $n$ , has operations  $scan()$  and  $update_i()$  and the following sequential specification:

```
1 upon  $update_i(v)$  do
2 |  $R_i \leftarrow v$ 
3 upon  $scan$  do
4 | return  $R$ 
```

Figure 1: Sequential specification of the snapshot object.

The following algorithm (incorrectly) implements an atomic *snapshot* object using an array of shared registers  $R$ :

```
1 upon  $update_i(v)$  do
2 |  $ts \leftarrow ts + 1$ 
3 |  $R_i \leftarrow (v, ts, scan())$ 
4 upon  $scan$  do
5 |  $t_1 \leftarrow collect(), t_2 \leftarrow t_1$ 
6 | while true do
7 | |  $t_3 \leftarrow collect()$ 
8 | | if  $t_3 = t_2$  then return  $\langle t_3[1].val, \dots, t_3[N].val \rangle$ 
9 | |
10 | | for  $k \leftarrow 1$  to  $N$  do
11 | | | if  $t_3[k].ts \geq t_1[k].ts + 1$  then return  $t_3[k].snapshot$ 
12 | | |
13 | |  $t_2 \leftarrow t_3$ 
14 upon  $collect$  do
15 | for  $j \leftarrow 1$  to  $N$  do
16 | |  $x_j \leftarrow R_j$ 
17 | return  $x$ 
```

Figure 2: Incorrect implementation of the snapshot object.

**Task.** Give an execution of the algorithm which violates atomicity of the *snapshot* object.



### Problem 3 (3 points)

Consider the linearizable and wait-free log object. The log object supports two operations: `append` and `getLog`. The sequential specification of the log object is shown below:

```
1 Given:  
2 Sequential linked list  $L$  that is initially empty.  
3  
4 procedure append(obj)  
5    $L.append(obj)$   
6  
7 procedure getLog()  
8    $result[] \leftarrow \perp$   
9    $k \leftarrow \text{length}(L)$   
10   $i \leftarrow 1$   
11  while  $i \leq k$  do  
12     $result[i] \leftarrow \text{element}(L, i)$  // the  $\text{element}(L, i)$  function call returns the  $i$ -th element of list  $L$   
13     $i \leftarrow i + 1$   
14  return  $result$ 
```

Figure 3: Sequential specification of the log object.

Furthermore, consider a linearizable and wait-free fetch-and-increment object where its sequential specification is shown below:

```
1 Given:  
2 Register  $R$  that is initially 0.  
3  
4 procedure fetchAndIncrement()  
5    $old \leftarrow R$   
6    $R \leftarrow old + 1$   
7   return  $old$ 
```

Figure 4: Sequential specification of the fetch-and-increment object.

Is it possible to implement the linearizable and wait-free log object by using any number of read-write registers and fetch-and-increment objects? Explain your answer.



## Problem 4 (3 points)

An atomic shared counter maintains an integer  $x$ , initially 0, and has two operations  $\text{inc}()$  and  $\text{read}()$ . The sequential specification is as follows:

```
1  $x$  integer, initially 0
2 upon  $\text{read}(x)$  do
3 |   return  $x$ 
4 upon  $\text{inc}(x)$  do
5 |    $x \leftarrow x + 1$ 
```

Consider the following, *incorrect*, implementation of an obstruction-free consensus object from shared counters:

**uses:**  $C_0, C_1$  – atomic shared counters initialized to 0

```
1 upon  $\text{propose}(v)$  do
2 |   while true do
3 |      $(x_0, x_1) \leftarrow \text{readCounters}()$ 
4 |     if  $x_0 > x_1$  then
5 |       |  $v \leftarrow 0$ 
6 |     else if  $x_1 > x_0$  then
7 |       |  $v \leftarrow 1$ 
8 |     if  $|x_0 - x_1| \geq 1$  then
9 |       | return  $v$ 
10 |    |  $C_v.\text{inc}()$ 
11 upon  $\text{readCounters}()$  do
12 |   while true do
13 |      $x_0 \leftarrow C_0.\text{read}()$ 
14 |      $x_1 \leftarrow C_1.\text{read}()$ 
15 |      $x'_0 \leftarrow C_0.\text{read}()$ 
16 |     if  $x_0 = x'_0$  then
17 |       | return  $(x_0, x_1)$ 
```

Give an execution of the above algorithm that shows that the algorithm is not a correct implementation of an obstruction-free consensus object, i.e. an execution in which some property (obstruction-freedom, validity, or agreement) of obstruction-free consensus is violated.



## Problem 5 (2 points)

An atomic **0-set-once** object is a shared object that has three states  $\perp$ , 0, and 1.  $\perp$  is the initial state. It provides only one operation  $set(v)$  where  $v \in \{0, 1\}$ , such that:

- If the object is in state  $\perp$ , then  $set(v)$  changes the state of the object to  $v$  and returns  $v$ .
- If the object is in state  $s$  where  $s \in \{0, 1\}$ , then  $set(v)$  changes the state of the object to  $s \wedge \neg v$  and returns the new state of the object (i.e.,  $s \wedge \neg v$ ).

### Tasks.

1. Explain what it means for a shared object to have infinite consensus number.
2. Prove that the atomic **0-set-once** object has infinite consensus number.

